

REMARKS

The examiner rejected claims 1-4, 6, 53-56, 60-65, and 67-68 are rejected under 35 U.S.C. 102(b) as being anticipated by StockWatch System. The examiner stated:

**The reference teaches that the SWAT System tracks real time market data for abnormal events and transmits an alert message upon that event. One such event is said to be a locked or crossed market. See pages 1-11, where the creation of the file reads on transmitting an alert message.**

Applicant contends that the features of claims 1-4, 6, 53-56, 60-65, and 67-68 are allowable over the cited SWAT system. The examiner also indicated allowable subject matter.

**The System known as StockWatch makes no mention of the features of Claims 5, 57, 58, 59 and 66. This StockWatch System is believed to be a system designed for the assignee of the instant application. Assuming (sic) that these features were not inherent in the StockWatch system, these features render the claims allowable.**

Applicant has amended the claims to incorporate the allowable subject matter into the base claims. Applicant has amended claim 67 to include the allowable feature of "updating one of the inside quotes in response to the new quote being one of a higher bid quote and a lower ask quote" from allowable claims 56 and 57.

The undersigned believes that the combination of features of the base claims as well as the combination of features of Claims 5, 57, 58, 59 and 66 are not inherent in the SWAT system. SWAT was disclosed in an IDS in this case.

Applicant has enclosed an IDS cited the SWAT references from Serial No. 10/272,179, which the examiner is apparently already aware of to complete the file and formally make of record the SWAT references from the co-pending application.

Applicant : Ana Gabriela Anaya et al.  
Serial No. : 09/347,497  
Filed : July 2, 1999  
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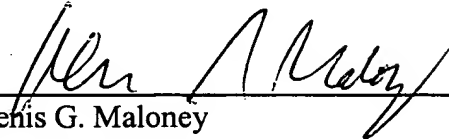
Attorney's Docket No.: 09857-022001

Enclosed is a **\$1020** check for the Petition for Extension of Time fee. Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

5/23/06



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